

**TO ALL COMMISSION MEMBERS, COOPERATING NON-MEMBERS,
PARTICIPATING TERRITORIES AND OBSERVERS**

Circular No.: 2016/14

Date: 20 April 2016

No. pages: 5

Announcing Availability of an Online Compliance Case File System Facility

Dear All,

The Conservation and Management Measure on the Compliance Monitoring Scheme (CMM 2015-07) requires CCMs to report annually on the progress of their ongoing investigations into alleged infringements until they are resolved. To assist TCC, CCMs and the Secretariat in tracking the progress and outcomes of investigations of alleged infringements, the Secretariat, in close collaboration with SPC-OFP, has develop an online Compliance Case file system and is now available on the WCPFC intranet.

This Compliance Case file system allows the Secretariat to make available online alleged infringements relevant to the Compliance Monitoring Scheme. It also has the capability for CCMs to submit online their progress reports whilst the investigations are ongoing right up until their conclusion.

CCMs are kindly advised that alleged infringements by your flag vessels relating to setting on FADs during FAD closure period and observer obstruction detected in the observer reports are now available online through this Compliance Case file system. CCMs will be kept informed as new alleged infringements goes into this system. CCMs are encouraged to use this system to submit report on investigation up until the conclusion of the investigation.

A quick guide for this Compliance Case file system is attached. This is an initial version of the Compliance Case file system and is very much work in progress and we would gratefully welcome feedbacks and comments in order to assist us improve it further.

Should you require further assistance please contact the Assistant Compliance Manager 'Ana F. Taholo (at email ana.taholo@wcpfc.int) or ROP Coordinator Karl Staisch (at email karl.staisch@wcpfc.int)

Yours sincerely,



**Feleti P Teo, OBE
EXECUTIVE DIRECTOR**

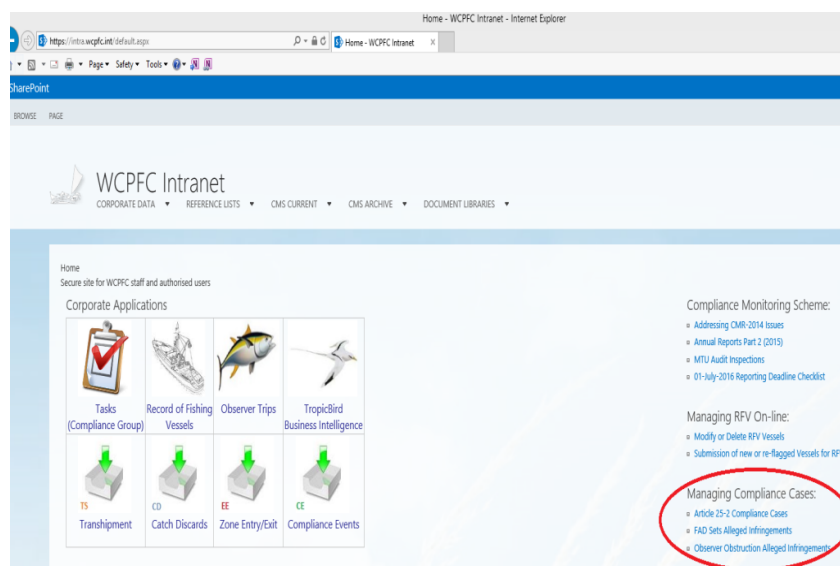
MANAGING COMPLIANCE CASES ONLINE – QUICK GUIDE v1.0

Connecting Online

- Connection to the WCPFC is required and following these steps will do that:
 - Connect PC computer to the internet
 - Open your favorite browser such as Internet Explorer, Chrome, Safari or Firefox.
 - Enter <https://intra.wcpfc.int>
 - You will be asked to log on to the WCPFC “Application and Network Access Portal”. Please use your cmr login information (username and password to login), as shown below. Remember that **wcpfc** is required for the Username.

The screenshot shows the 'Application and Network Access Portal' login interface. It includes fields for 'User name' (containing 'wcpfc\cmr'), 'Password' (masked with dots), 'Authenticate using' (a dropdown menu showing 'pnidc1.wcpfc.local'), and 'Language' (a dropdown menu showing 'English (en-US)'). A 'Log On' button is positioned below these fields. At the bottom, there is a disclaimer: 'This site is intended for authorized users only. If you experience access problems contact the [site administrator](#).' and a copyright notice: '© 2010 Microsoft Corporation. All rights reserved. [Terms and Conditions](#).'

- Successful login takes you to the **WCPFC INTRANET Home Page**. On the right side of the screen, below the WCPFC logo, you will find the heading **Managing Compliance Cases** (see red circle). There are currently (3) lists under this heading:
 - **Article 25-2 Compliance Cases**
 - **FAD Sets Alleged Infringements**
 - **Observer Obstruction Alleged Infringements**



Explanatory notes for the 3 lists

- **Article 25-2 Compliance Cases**
Contain any alleged infringements (other than FAD sets and observer obstruction) in which a flag State investigation has been requested, in accordance with Article 25(2) of the Convention.
- **FAD Sets Alleged infringements**
Contain alleged infringements, related to setting on FADs during the FAD closure period, identified in the observer reports.
- **Observer Obstruction Alleged Infringements**
Contain alleged infringements, related to observer obstruction, identified in the observer reports.

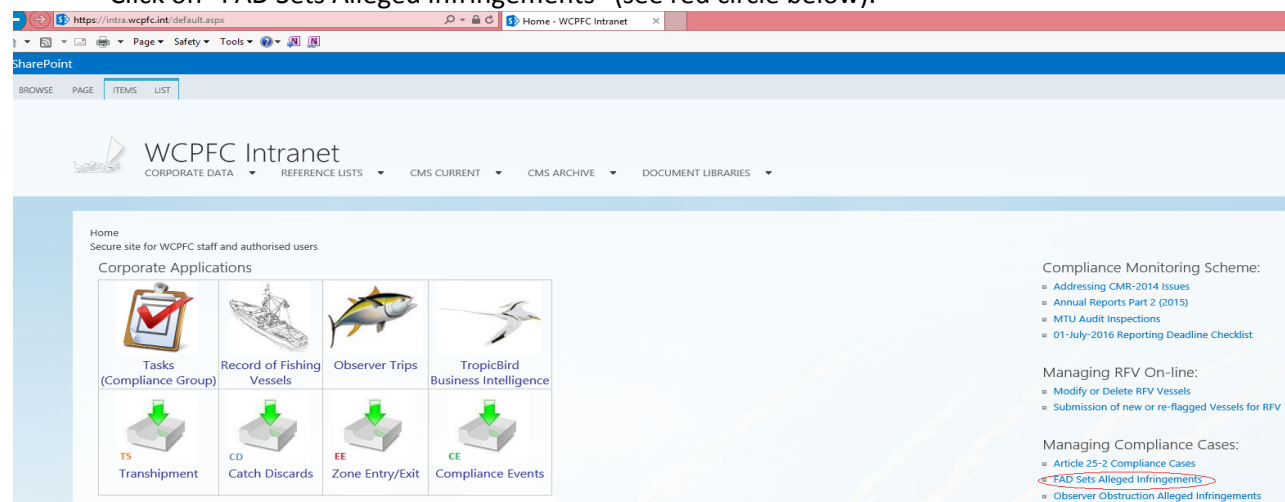
Note: The first release of information (advised in Circular No.: 2016/14) relating to the last two lists (FAD Sets Alleged Increments and Observer Obstruction Alleged Infringements) uses data from SPC-OFP received in November 2015. The Secretariat is updating the system with the latest data from SPC-OFP as well as updating the system with Article 25(2) matters and will be informing CCMs in due course as new alleged infringements are made available in the system.

Guidance for completing any of the three lists using FAD Sets Alleged Infringements list as an example

The process on how to provide response/s to the alleged infringements is similar for all three lists. As such, the explanation hereunder relating to the “FAD Sets Alleged Infringements” is relevant to any of the alleged infringements that are under the “Article 25-2 Compliance Cases” and the “Observer Obstruction Alleged Infringements”.

FAD Sets Alleged Infringements

- Click on “FAD Sets Alleged Infringements” (see red circle below).



- This will take you to the FAD Sets Alleged Infringements window below. If there is any alleged FAD sets infringement related to your flag vessels reported by observers, these will be listed in the window shown below. Otherwise, there will be blank/nothing for CCMs who did not have any alleged FAD sets infringement related to their flag vessel

WCPFC Intranet

CORPORATE DATA ▼ REFERENCE LISTS ▼ CMS CURRENT ▼ CMS ARCHIVE ▼ DOCUMENT LIBRARIES ▼

Search this site:

FAD Sets Alleged Infringements

[new item](#)

By Vessel Trip All Items **By Flag CCM** Find an item

✓ Edit Infringement ID Vessel Trip Alleged Infringement Set DateTime - Ship Set DateTime - UTC School Association Detection Method Latitude Longitude EEZ-IW Tuna Caught mt Flag CCM Comments CMR Year dCMR Eligible Infringement Status Secretariat Comments

*CCM:

	...	CMM 2014-01 14	Drifting raft, FAD or payao	Marked with beacon	2015
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- To provide responses to any of the alleged infringements, click on the icon shown in red circle in the window above. A window such as below will come up. Flag CCMs can provide comments in the text box title “Flag CCM Comments” and attach any attachments (circle in green) related to that particular infringement.

FAD Sets Alleged Infringements -

EDIT PAGE

Save Cancel Paste Copy Delete Item Attach File Spelling

Commit Clipboard Actions Spelling

Save Cancel

Infringement ID *

Vessel Trip *

Alleged Infringement * **CMM 2014-01 14**

Set Details:

Set DateTime - Ship *

Set DateTime - UTC *

School Association

Detection Method

Latitude

Longitude

EEZ-IW

Tuna Caught mt

Flag CCM Comments:

Secretariat Use:

CMR Year *

dCMR Eligible *

Infringement Status *

Secretariat Comments

Version: 2.0
Created at 1/27/2016 6:08 PM by WCPFC system
Last modified at 4/15/2016 11:14 AM by

Save Cancel

- You can click on any of the fields with hyperlinks (circle in red) for more details. Clicking on the field:
 - Infringement ID – will give you same screen as above
 - Vessel Trip – will give you a window with the vessel name, flag CCM, trip start and end date, observer provider and trip number
 - Alleged infringement (eg. 2014-01 14) – will give you window with the language of that particular obligation/CMM paragraph
- Flag CCM can enter multiple responses/progress reports, online, related to any of the alleged infringement as it conducts investigation. The system will track the responses provided by the flag CCM during the life of the alleged infringement. To provide another response/update to a particular alleged infringement, simply repeat the steps above.